IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HANSEN *et al.* Docket No. 366929-018US (396515)

Serial No. 10/776,934 Group Art Unit: 1635

Filed: February 10, 2004 Confirmation No. 2105

For: OLIGOMERIC COMPOUNDS FOR Examiner: Kimberly Chong

THE MODULATION OF SURVIVIN

APPLICATION FOR PATENT TERM ADJUSTMENT INCLUDING REQUEST FOR RECONSIDERATION UNDER 37 CFR § 1.705(b)

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EXPRESSION

Sir:

This is a request for reconsideration of the patent term adjustment of 0 days indicated in the determination of Patent Term Adjustment under 35 U.S.C. § 154(b) that was attached to the Notice of Allowance mailed on April 17, 2009 for the above-referenced patent application. It is respectfully requested that Applicants be granted a minimum patent term adjustment of **864 days**, which may be extended or reduced based on the actual date of issuance of a patent from the above-referenced application.

The issue fee is being paid concurrently herewith.

Applicants submit herewith a "Statement Under 37 CFR §1.702(b)(2)".

In accordance with 37 CFR §1.705(b)(1), please charge the fee set forth in 37 CFR §1.18(e) (\$200.00) to Dechert LLP Deposit Account No. 50-2778 (**Order no. 366929-018US (396515)**).

Applicants kindly request grant of this petition.

Respectfully submitted,

Date:

June 29, 2009

Anna D. DiGabriele Reg. No. 59,933

DECHERT LLP

Customer No. 37509Telephone: 650.813.4800
Facsimile: 650.813.4848

15043503.1.BUSINESS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HANSEN et al.

Docket No.

366929-018US (396515)

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1635

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2105

For:

OLIGOMERIC COMPOUNDS FOR

THE MODULATION OF SURVIVIN

EXPRESSION

Examiner:

Kimberly Chong

STATEMENT UNDER 37 CFR § 1.705(b)(2)

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This statement is respectfully submitted in support of the "Application for Patent Term Adjustment Including Request for Reconsideration Under 37 CFR § 1.705(b)" for the above-referenced patent application. In view of the following, it is respectfully requested that Applicants be granted a minimum patent term adjustment of **864 days**, which may be extended or reduced based on the actual date of issuance of a patent from the above-referenced application.

The patent term adjustment on the Determination of Patent Term Adjustment Under 35 U.S.C. §154(b) that was attached to the Notice of Allowance (submitted herewith as Exhibit A) is <u>0 days</u>. That determination projected that the patent would issue on the Tuesday before the date that is 28 weeks after April 17, 2009, the mailing date of that notice (*i.e.*, October 27, 2009). A copy of the Office's calculation of 0 days, printed from PAIR, is submitted herewith as Exhibit B.

This determination of 0 days is in error for the following reasons: (1) the PTO's miscalculation of the 4 Month Delay incurred by the Office in its Non-final Office Action mailed on April 17, 2007; and (2) the miscalculation of overlap of the delays under 37 CFR §§ 1.702(a) and 1.703(a) and the delays under 37 CFR §§ 1.702(b) and 1.703(b). See Wyeth v. Dudas, 88 U.S.Q.P. 2d 1538 (D.D.C. 2008).

A. 14 Month Delay and 4 Month Delay under 37 CFR §§ 1.702(a) and 1.703(a)

1. 14 Month Delay

Applicants are entitled to a period of patent term adjustment pursuant to 37 CFR §§ 1.702(a)(1) and 1.703(a)(1) ("14 Month Delay"). Applicants <u>agree</u> with the Office's calculation shown in Exhibit B that the 14 Month Delay is 101 days. Because the Office failed to mail an action under 35 U.S.C. §132 until July 20, 2005, Applicants are entitled to a period of patent term adjustment beginning on the day after the date that is 14 months after the date on which the above-referenced application was filed under 35 U.S.C. §111(a), *i.e.*, February 11, 2004, and ending on the date of mailing of an action under 35 U.S.C. §132, *i.e.*, July 20, 2005, or 101 days.

2. 4 Month Delay

Applicants are entitled to a period of patent term adjustment pursuant to 37 CFR §§ 1.702(a)(2) and 1.703(a)(2) ("4 Month Delay"). Applicants disagree with the Office's calculation shown in Exhibit B that the 4 Month Delay is <u>0 days</u>. Applicants respectfully submit that the correct 4 Month Delay is <u>332 days</u>. The relevant facts are as follows:

- 1. On January 20, 2006, Applicants filed a reply under 37 CFR § 1.111 including a response to restriction requirement.
 - 2. On September 19, 2006, the Office mailed a notice to comply with requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures.
 - 3. On March 15, 2007, Applicants filed a response to the notice to comply with requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures.
- 4. On April 17, 2007, the Office mailed a non-final office action in response to the January 20, 2006 response to restriction requirement. See Exhibit C (cover page and office action summary from April 17, 2007)

In its PTA calculation, the Office mistakenly assumed that the April 17, 2007 office action was in response to Applicants' March 15, 2007 response to the notice to comply, and thus calculated the 4 Month Delay as <u>0 days</u>. Instead, Applicants are entitled to a period of patent term adjustment of <u>332 days</u> due to the failure by the Office to mail an action under 35 U.S.C. §132 not later than four months after the date of Applicants' reply under 37 CFR § 1.111 of January 20, 2006 (*i.e.*, May 20, 2006). Because the Office failed to mail an action under 35 U.S.C. §132 until April 17, 2007, Applicants are entitled to a period of patent term adjustment beginning on the day after the date that is four months after the date a reply under 37 CFR § 1.111 was filed (*i.e.*, January 21, 2006) and ending on the date of mailing of an action under 35 U.S.C. §132 (*i.e.*, April 17, 2007) or <u>332 days</u>.

Accordingly, the total period of patent term adjustment under 37 CFR §§ 1.702(a) and 1.703(a) is 101 days of 14 Month Delay plus 332 days of 4 Month Delay for a total of 433 days.

B. 3 Year Delay under 37 CFR §§ 1.702(b) and 1.703(b)

Applicants are entitled to a period of patent term adjustment pursuant to 37 CFR §§ 1.702(b) and 1.703(b) due to examination delay equal to the number of days in the period beginning on the day after the date that is three years after February 10, 2004, when the above-referenced application was filed under 35 U.S.C. §111(a) (*i.e.*, February 11, 2007) and ending on the date a patent is issued, not including several categories of exceptions stated in 35 U.S.C. § 254(b)(1)(B)(i)-(iii) ("3 Year Delay"). Applicants agree with the Office's calculation shown in Exhibit B that the 3 Year Delay is <u>990 days</u>, based on a projected issue date of October 27, 2009.

C. Exclusion of Overlapping Delay under 37 CFR § 1.703(f)

Applicants are not entitled to a period of patent term adjustment to the extent that the periods in 37 CFR § 1.702 (*i.e.*, the 14 Month Delay, the 4 Month Delay and the 3 Year Delay) overlap pursuant to 37 CFR §1.703(f) ("Overlapping Delay"). Applicants <u>disagree</u> with the Office's calculation of Overlapping Delay of 101 days. As the period of 4 Month Delay ended on April 17, 2007, and the 3 Year Delay began February 11, 2007, Applicants submit that these periods overlap by <u>66 days</u>. See Wyeth v. Dudas, 88 U.S.P.Q. 2d at 1541. To calculate the period of patent term adjustment, the total period of examination delay is thus to be reduced by the sum of the period of overlap, *i.e.*, <u>66 days</u>.

D. Exclusion of Applicant Delay under 37 CFR §§ 1.703(f) and 1.704

Applicants are not entitled to a period of patent term adjustment to the extent that they failed to engage in reasonable efforts to conclude prosecution of the application pursuant to 37 CFR §§ 1.703(f) and 1.704 ("Applicant Delay").

Applicants <u>agree</u> with the Office's calculation shown in Exhibit B that the Applicant Delay is <u>92 days</u> with respect to the response filed by Applicants on January 20, 2006. The Applicant Delay is the number of days beginning on the day after the date that is three months after the date of mailing of the July 20, 2005 Office Action (*i.e.*, October 21, 2005) and ending on the date the reply was filed (*i.e.*, January 20, 2006), which is <u>92 days</u>.

Applicants agree with the Office's calculation shown in Exhibit B that the Applicant Delay is 158 days with respect to the Response filed June 27, 2006. Applicants' response filed on January 20, 2006 to the Office Action mailed July 20, 2005 was deemed by the Office to be non-compliant. Applicants therefore incurred further Applicant delay beginning on the day after the non-compliant reply was filed (*i.e.*, January 21, 2006) and ending on the day that Applicants filed a compliant response to the Office Action mailed July 20, 2005 (*i.e.*, June 27, 2006), which is 158 days.

Applicants <u>agree</u> with the Office's calculation shown in Exhibit B that the Applicant Delay is <u>86 days</u> with respect to the response filed by Applicants on March 15, 2007. The Applicant Delay is the number of days beginning on the day after the date that is three months after the date of mailing of the September 19, 2006 Office communication (*i.e.*, December 20, 2006) and ending on the day the reply was filed (*i.e.*, March 15, 2007), which is <u>86 days</u>.

Applicants <u>agree</u> with the Office's calculation shown in Exhibit B that the Applicant Delay is <u>87 days</u> with respect to the response filed by Applicants on October 12, 2007. The Applicant Delay is the number of days beginning on the day after the date that is three months after the date of mailing of the April 17, 2007 Office Action (*i.e.*, July 18, 2007) and ending on the day the reply was filed (*i.e.*, October 12, 2007), which is <u>87 days</u>.

Applicants agree with the Office's calculation shown in Exhibit B that the Applicant Delay is 50 days with respect to the response filed by Applicants on May 28, 2008. The Applicant Delay is the number of days beginning on the day after the date that is three months after the date of mailing of the January 8, 2008 Office Action (i.e., April 9, 2008) and ending on the day the reply was filed (i.e., May 28, 2008), which is 50 days.

Applicants disagree with the Office's failure to calculate any delay with respect to the amendment

filed by Applicants pursuant to 37 C.F.R. § 1.312 on May 1, 2009. The Applicant Delay is the number of

days beginning on the day that the amendment was filed (i.e., May 1, 2009) and ending on the mailing

date of the Office response to the amendment (i.e., May 20, 2009), which is 20 days.

Accordingly, the total period of Applicant Delay under 37 CFR §§ 1.703(f) and 1.704 is

493 days.

<u>E.</u> **Total Patent Term Adjustment**

Pursuant to 37 CFR § 1.703(f), the total patent term adjustment is 433 days of 14 Month Delay

and 4 Month Delay, plus 990 days of 3 Year Delay, minus 66 days of Overlapping Delay, minus 493 days

of Applicant Delay, for a total of **864 days**.

<u>F.</u> Conclusion

In view of the foregoing, it is respectfully requested that this Application for Patent Term

Adjustment be favorably considered and that a corrected Determination of Patent Term Adjustment be

issued to reflect a patent term adjustment of 864 days, which may be extended or reduced based on the

actual date of issuance of a patent for the above-referenced application.

Respectfully submitted,

Date:

June 29, 2009

Reg. No. 59,933

Daluele

Customer No. 37509 Telephone: 650.813.4800

Facsimile: 650.813.4848

15043800.1.BUSINESS

DECHERT LLP

Exhibit A

Copy of "Determination of Patent Term Adjustment under 35 U.S.C. § 154(b)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	F	TLING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
10/776,934		02/10/2004	Bo Hansen	366929-018US (396515)	2105			
37509	7590	04/17/2009		EXAMINER				
DECHERT LLP				CHONG, KIMBERLY				
P.O. BOX 3904				ART UNIT	PAPER NUMBER			
MOUNTAIN VIEW, CA 94039-0460				1635				
				DATE MAILED: 04/17/2009				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

Exhibit B

Printout of PTA Calculation from PAIR

4 - MONTH DELT MONTH DELAT Order Certified Application As Filed Order Certified File Wrapper EAR DE HE MODULATION OF SURVIVIN EXPRESSION nt Term Adjustment 101 Filing or 371(c) Date 02-10-2004 USPTO Delay (PTO) Delay (days): Issue Date of Patent: Applicant Delay (APPL) Delay (days) 473 Pre-Issue Petitions (days): Total Petent Term Adjustment (days): +0 Post-Issue Petitions (days): ASSINED ISSUFID-27-09 +0 Explanation Of Calculations USPTO Adjustment (days): 20 LACCEPTED 5-20-09 Patent Term Adjustment History 1 1 APPL(Days) PTO(Days) Contents Description Date 312 AME NO. Mail Notice of Allowance 04-17-2009 ጥ Information Disclosure Statement (IDS) Filed 03-23-2009 1 Notice of Allowance Data Verification Completed 03-19-2009 Case Docketed to Examiner in GAU 03-19-2009 Document Verification 03-19-2009 1 Date Forwarded to Examiner 03-10-2009 Amendment after Final Rejection 03-06-2009 Mail Final Rejection (PTOL - 326) 01-09-2009 <u>ተ</u> Final Rejection 01-05-2009 Information Disclosure Statement consid 05-28-2008 1 10-21-2008 Date Forwarded to Examine 1 Response after Non-Final Action 09-29-2008 1 Mail Miscellaneous Communication to Applicant 09-19-2008 Miscellaneous Action with SSP 09-18-2008 Miscellaneous Incoming Letter 05-28-2008 07-16-2008 Date Forwarded to Examiner 50 05-28-2008 Response after Non-Final Action ٠ **1** Request for Extension of Time - Granted 05-28-2008 4 Information Disclosure Statement (IDS) Filed 05-28-2008 Change in Power of Attorney (May Include Associate POA) 4 Ť ተ 03-13-2008 Correspondence Address Change ተ 03-12-2008 Ì 01-08-2008 Mail Non-Final Rejection ٠ 01-07-2008 Non-Final Rejection Correspondence Address Change 1 10-24-2007 ተ New or Additional Drawing Filed 10-12-2007 Date Forwarded to Examiner 1 10-24-2007 87 87 10-12-2007 Response after Non-Final Action 个个个 Request for Extension of Time - Granted 10-12-2007 Information Disclosure Statement considered 07-14-2004 ተ 个个 Information Disclosure Statement (IDS) Filed 07-14-2004 1 04-17-2007 Mail Non-Final Retection 111 66 332 Non-Final Rejection 04-16-2007 Information Disclosure Statement considered 01-23-2007 Date Forwarded to Examiner 04-05-2007 Ť 86 03-15-2007 Response to a Letter to Comply with the Sequence Rules Request for Extension of Time - Granted 2-10-07 03-15-2007 1 Information Disclosure Statement (IDS) Filed 01-23-2007 Ť Information Disclosure Statement (IDS) Filed 01-23-2007 1 Mail Letter Requiring CRF (Unreadable, Non-Compliant, Not Submitted) 09-19-2006 1 CRF Diskette Unreadable / Did Not Comply / Required but Not Submitted 09-15-2006 4 07-05-2006 Date Forwarded to Examiner Response to Election / Restriction Filed 1 06-27-2006 Ť Request for Extension of Time - Granted 06-27-2006 **↑ ↑ ↑** ٠ Mail Notice of Informal or Non-Responsive Amendment 1 04-20-2006 4 Information Disclosure Statement considered あるが 08-29-2005 Information Disclosure Statement considered ተ 08-29-2005 ŕ 1 Case Docketed to Examiner in GAU 04-04-2006 1 4 Date Forwarded to Examiner 02-02-2006 1 1 Informal or Non-Responsive Amendment after Examiner Action 01-20-2006 92 92 Response to Election / Restriction Filed 01-20-2006 1 Request for Extension of Time - Granted 01-20-2006 Information Disclosure Statement (IDS) Filed 08-29-2005 1 08-29-2005 Information Disclosure Statement (IDS) Filed Reference capture on IDS 1 08-29-2005 Information Disclosure Statement (IDS) Filed ተ 08-29-2005 08-29-2005 Information Disclosure Statement (IDS) Filed 2 of 3 https://sportal.uspto.gov/secure/myportal/!ut/p/kcxml/04_Si9SPykssy0...

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7-14-2004	A set of symbols and procedures, provided to the PTO on a set of computer listings, that describe in					1 1			
7-14-2004	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic	.							
7-26-2004	CRF Is Good Technically / Entered into Database		1 1			1 1	1 1	1 1	
5-14-2004	Notice MailedApplication IncompleteFiling Date Assigned	•		- 1		1 1	4		
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Exhibit C

Summary of Office Action mailed April 17, 2007 (first 2 pages only)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO		
10/776,934	62/10/2004	Bo Hansen	58610 (71432)	2105		
	990 GELL PALMER & DODO	GRILP	EXAM	INER		
P.O. BOX 55874	}		CHONG, K	MBERLY		
BOSTON, MA 0	2205		ART UNIT	PAPER NUMBER		
			1635			
SHORTENED STATUTORY	PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE			
3 MON	THS	• 64/17/2007	PAPER			

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application	n No.	Applicant(s)				
Office Assistant Community		10/776,93	4	HANSEN ET AL.				
	Office Action Summary	Examiner		Art Unit				
		Kimberly 0		1635				
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WHIC - Exter after - If NO - Failu Any r	ORTENED STATUTORY PERIOD FOR RESHEVER IS LONGER, FROM THE MAILING issions of time may be available under the provisions of 37 CFI SIX (6) MONTHS from the mailing date of this communication period for reply is specified above, the maximum statutory pere to reply within the set or extended period for reply will, by staply received by the Office later than three months after the mod patent term adjustment. See 37 CFR 1.704(b).	3 DATE OF TH R 1.136(a). In no eve i. riod will apply and wi abute, cause the appl	IS COMMUNICATION nt, however, may a reply be tim t expire SIX (8) MONTHS from cation to become ABANDONE	N. nely filed the mailing date of this co D (35 U.S.C. § 133).				
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1)[X]	Responsive to communication(s) filed on 2	0 January 200	5.					
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Dispositi	ion of Claims							
4)⊠	Claim(s) 3,5-16,19-21,23-38,45,46,48-52,	120-124 and 15	3-169 is/are pending i	in the application.				
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)	Claim(s) is/are allowed.							
6)[🛛	☑ Claim(s) 3,5-16,19-21,23-38,45,46,48-52,120-124 and 153-169 is/are rejected.							
-	Claim(s) 23-38,48 and 50 is/are objected t							
8)[]	Claim(s) are subject to restriction a	nd/or election r	equirement.					
Applicat	ion Papers							
9)⊠	The specification is objected to by the Exar	miner.						
10)🛛	The drawing(s) filed on 10 February 2004 i	s/are: a)∏ acı	cepted or b) 🛛 objecte	d to by the Exami	ner.			
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•	Replacement drawing sheet(s) including the co							
11)	The oath or declaration is objected to by th	e Examiner. No	ote the attached Office	Action or form PT	O-152.			
Priority (under 35 U.S.C. § 119							
12)	Acknowledgment is made of a claim for for ☐ All b)☐ Some * c)☐ None of:	eign priority un	der 35 U.S.C. § 119(a)-(d) or (f).				
	1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority docum	nents have bee	n received in Applicat	ion No				
	3. Copies of the certified copies of the	priority docume	ents have been receive	ed in this National	Stage			
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3) 🗵 Infor	mation Disclosure Statement(s) (PTO-1449 or PTO/S er No(s)/Mail Date <u>7/14/04, 12/16/04</u>		5) Notice of Informal F 6) Other: <u>2/3/05</u> , <u>8/29/</u>) - 152)			